## COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

Date/Time Stamp
SECRETARY OF THE SENATE
PUBLIC RECORDS
2019 DEC 12 PH 12: 47

Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

## SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

| Name of Traveler: Matthew R. Williams                |   |
|--|---|
| Employing Office/Committee: U.S. Senator Kama        | la D. Harris  |
| Travel Expenses Paid by (List all sources): Stanford | University  |
| Travel Date(s): August 26-29, 2019                   |   |
| Description/Title of Attached Forms:                 |   |
| Private Sponsor Travel Certification Form            |   |
|  |   |
|  |   |
|  | nding original submission):nsor Travel Certification Form. Correct form submitted here. |
|  |   |
|  | Munton Je Holllein.   |
| 12/12/2019<br>                                       | (Signature of Traveler)   |
| (~~~)  | (5.5.1  |

## PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

| 1. | Stanford University (Partnership between Stanford's Sponsor(s) of the trip (please list all sponsors):  |
|----|---|
|    | Hoover Institution, Freeman Spogli Institute, Human Centered Artificial Intelligence Institute)   |
| 2. | Description of the trip: An intensive program for Congressional staff which consists of three days of   |
|    | seminars, simulations, and keynote presentations.   |
| 3. | Dates of travel: August 26 - 29, 2019   |
| 4. | Place of travel: Stanford University, Stanford, CA  |
| 5. | Name and title of Senate invitces: See attached list  |
| 6. | I certify that the trip fits one of the following categories:   |
|    | <ul> <li>(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.         <ul> <li>OR -</li> </ul> </li> </ul> |
|    | (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).   |
| 7, | I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.  |
|    | AND   |
|    | I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.       |
| 8, | I certify that:   |
|    | The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement.  - AND -   |
|    | The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal   |
|    | except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).  |

| 9.  | USE ONLY IF YOU CHECKED QUESTION 6(B)  I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:  |  |  |  |  |  |  |  |  |  |  |  |  |
|-----|---|--|--|--|--|--|--|--|--|--|--|--|--|
|     | (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip.  -OR-                            |  |  |  |  |  |  |  |  |  |  |  |  |
|     | (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).  -OR- |  |  |  |  |  |  |  |  |  |  |  |  |
|     | (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.     |  |  |  |  |  |  |  |  |  |  |  |  |
| 10. | USE ONLY IF YOU CHECKED QUESTION 9(B)  If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:  |  |  |  |  |  |  |  |  |  |  |  |  |
|     |   |  |  |  |  |  |  |  |  |  |  |  |  |
| 11. | An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.  |  |  |  |  |  |  |  |  |  |  |  |  |
| 12. | Briefly describe the role of each sponsor in organizing and conducting the trip:  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Stanford University soley planned all aspects of the trip including topics discussed, travel/accommodation  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | logistics, and required paperwork. Stanford staff will also be responsible for traveling with Congressional   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | staff and managing logistics for the duration of the trip.  |  |  |  |  |  |  |  |  |  |  |  |  |
| 13. | Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:<br>Stanford University is a 501(C)3 institution of higher education that seeks to promote the public welfare by  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | excercising an influence in behalf of humanity and civilization, through teaching and rigorous scholarship.   |  |  |  |  |  |  |  |  |  |  |  |  |
|     |   |  |  |  |  |  |  |  |  |  |  |  |  |
| 14. | Briefly describe each sponsor's prior history of sponsoring congressional trips:  Stanford University has sponsored several trips for Congressional staff. Specifically, this is the fourth   |  |  |  |  |  |  |  |  |  |  |  |  |
|     |   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Cyber Boot Camp for Congressional staff organized by Stanford. The most recent one was August 2017  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | and had a similar format to this trip.  |  |  |  |  |  |  |  |  |  |  |  |  |

| Congressional statt, i   | Executive puauch officia                    | ls, academics and me               | mbers of the public      | . Additionally    |  |  |  |  |  |  |  |  |  |
|--|---|------------------------------------|--------------------------|-------------------|--|--|--|--|--|--|--|--|--|
| Stanford educates no   | ımerous undergad and                        | graduate students wit              | hin the university.      | <u>.</u>          |  |  |  |  |  |  |  |  |  |
| Total Expenses for Each Participant:                                   |   |                                    |                          |                   |  |  |  |  |  |  |  |  |  |
|  | Transportation<br>Expenses                  | Lodging<br>Expenses                | Meal<br>Exponses         | Other<br>Expense  |  |  |  |  |  |  |  |  |  |
| Good Faith estimate  | \$599.45<br>Round trip airfare<br>\$60      | \$600 (\$200/night)                | . \$218                  | None              |  |  |  |  |  |  |  |  |  |
|  | Ground<br>transportation                    |                                    |                          |                   |  |  |  |  |  |  |  |  |  |
| LI Actual<br>Amounts   | \$659.45<br>Total                           |                                    |                          |                   |  |  |  |  |  |  |  |  |  |
| This trip involves an participation.                                   | event that is arranged/o                    | rganized specifically              | with regard to Cong      | ressional staff   |  |  |  |  |  |  |  |  |  |
|  | the location of the even                    | t or trip                          |                          |                   |  |  |  |  |  |  |  |  |  |
| Reason for selecting   | ### 100mmon or me over                      |                                    | adicinate in the eve     | ent. we are hosti |  |  |  |  |  |  |  |  |  |
| •  | gnificant number of Cal                     | ifornia-based faculty p            | articipate in the eve    |                   |  |  |  |  |  |  |  |  |  |
| •  | gnificant number of Cal                     | ifornia-based faculty p            | railucipate til tile eve |                   |  |  |  |  |  |  |  |  |  |
| iN order to have a significant uni                                     | gnificant number of Cal                     |                                    | randcipate in the eve    |                   |  |  |  |  |  |  |  |  |  |
| iN order to have a significant the Stanford Uni                        | gnificant number of Call<br>versity campus. | facility:                          |                          |                   |  |  |  |  |  |  |  |  |  |
| it at the Stanford University  Name and location of Schwab Residential | gnificant number of Call<br>versity campus. | facility:<br>et, Stanford CA 94305 |                          |                   |  |  |  |  |  |  |  |  |  |

| 21. | Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:  |  |  |  |  |  |  |  |  |  |  |  |  |
|-----|--|--|--|--|--|--|--|--|--|--|--|--|--|
|     | Lodging expenses are less than the federal per diem for Stanford, CA. Meal expenses are less than the  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | federal per diem for Stanford, CA.   |  |  |  |  |  |  |  |  |  |  |  |  |
| 22. | Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Stanford University will provide economy class round trip airfare between Washington, DC and San   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Francisco, CA, and ground transportation in California.  |  |  |  |  |  |  |  |  |  |  |  |  |
| 23. | I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35). |  |  |  |  |  |  |  |  |  |  |  |  |
| 24. | List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | None   |  |  |  |  |  |  |  |  |  |  |  |  |
| 25. | I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor):  Signature of Travel Sponsor:  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Name and Title: Russell Wald, Senior Manager, External Affairs   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Name of Organization; Stanford University  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Address: 434 Galvez Mall, Stanford, CA 94305   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Telephone Number: 202.760.3200   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Fax Number:  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | E-mail Address: rwald@stanford.edu   |  |  |  |  |  |  |  |  |  |  |  |  |

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| Senior Professional Staff            | Policy Advisory and Minority Staff Director                              | Chief Counsel                       | Legislative Director    | Professional Staff                      | Professional Staff Member                    | Senior Counsel                                       | Professional Staff Member               | Counsel for the Minority                | Technology Policy Advisor | Policy Advisor | Senior Professional Staff Member   | Foreign Policy Advisor        | Senior Professional Staff Member | Chief Counsel                             | Professional Staff Member; Strategic forces SubCmte Lead | Professional Staff Member | Senior Policy Advisor | Systems Administrator   | Professional Staff Member        | Deputy Clerk and Head of Analytics | National Security Advisor | Professional Staff Member       |
|--------------------------------------|--|-------------------------------------|-------------------------|---|--|--|---|---|---------------------------|----------------|------------------------------------|-------------------------------|----------------------------------|---|--|---------------------------|-----------------------|-------------------------|----------------------------------|------------------------------------|---------------------------|---------------------------------|
| Energy & Natural Resources Committee | Senator Van Hollen Subcommittee on Securities, Investment, and Insurance | Committee on Rules & Administration | Senator Martin Heinrich | Senate Select Committee on Intelligence | Senate Committee on Rules and Administration | Homeland Security and Governmental Affairs Committee | Senate Select Committee on Intelligence | Senate Select Committee on Intelligence | Sen. Schatz               | HSGAC          | Senate Foreign Relations Committee | Office of Senator Josh Hawley | Senate Committee                 | Sen. Ben Sasse/Senate Judiciary Committee | Senate Armed Services Committee                          | Senate Appropriations     | Senator King          | Senator Mitch McConnell | Select Committee on Intelligence | Committee on Appropriations        | Sen. Kamala Harris        | Senate Armed Services Committee |
| Abbey                                | Arias  | Barber                              | Barrera                 | Barton                                  | Bedekovics                                   | Benecke  | Clise                                   | Harris                                  | Kim                       | Maffucel       | Oldham-Moore                       | Olidort                       | Pascoe                           | Payne                                     | Riordan  | Russell                   | Smith                 | Sulfab                  | Tanner                           | Trocchio                           | Williams                  | Winkler                         |
| Tristan                              | Karolina   | Jackie                              | Virgilio                | Jacob                                   | Greta  | Michelle   | Emily                                   | Katherine                               | Sunmin                    | Jackie         | Charlotte                          | Jacob                         | Cherilyn                         | William                                   | John   | Jacqueline                | Stephen               | Moon                    | Chad                             | Clint                              | Matthew                   | Robert                          |